



1733

Attorney's Docket No. 2002US301

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Joseph E. Oberlander et al

Serial No.: 10/042,532

Group Art Unit: 1733

Filed: January 9, 2002

Examiner: UNKNOWN

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GROUP 1700

For: POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE  
COATING

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

### INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION

Sir/Madam:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE  
STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(e).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

3. COMPLETED FORM PTO-1449 IS ATTACHED.

4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED  
INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE  
SUBMITTED OR NEED BE SUBMITTED

[ ] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_. The following references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

[ X ] Exception(s) to above: US 5,939,236 A; EP 0 813 114 A; EP 0 542 008 A; US 5,635,333 A

[ ] Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

[ ] Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

[ ] No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

[ ] The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

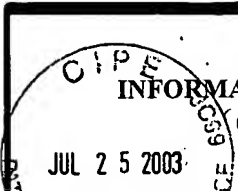
The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Sangya Jain, Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
Telephone: 908-429-3536

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 <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>2002US301</b>	Application Number <b>10/042,532</b>
	Applicant(s) <b>Joseph E. Oberlander et al</b>	
	Filing Date <b>January 9, 2002</b>	Group Art Unit <b>1733</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 5 693 691 A	12/02/1997	Flaim et al			
		US 6 110 641 A	08/29/2000	Trefonas, III et al			

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**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		EP 0 905 565 A1	03/31/1999	Europe				
		JP 2000 171604 A	06/23/2000	Japan				
		JP 10 301268 A	11/13/1998	Japan				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Joseph E. Oberlander et al**

Docket No.

**2002US**

Serial No.

**10/042,532**

Filing Date

**January 9, 2002**

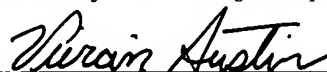
Examiner

**Unknown**

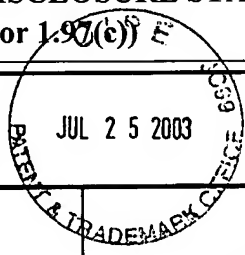
Group Art Unit

**1733**Invention: **POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING****RECEIVED**  
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**GROUP 1700**I hereby certify that this **INFORMATION DISCLOSURE CITATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **July 22, 2003***(Date)***Vivian Austin***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 2002US301	
In Re Application Of: <b>Joseph E. Oberlander et al</b>				
Serial No. 10/042,532	Filing Date January 9, 2002	Examiner UNKNOWN	Group Art Unit 1733	
Title: <b>POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING</b>				
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<div style="text-align: center;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div>				
<b>OR</b>				
<div style="text-align: center;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>				

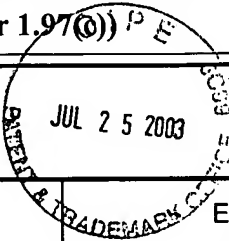


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2002US301

In Re Application: Joseph E. Oberlander et al



Serial No.

10/042,532

Filing Date

January 9, 2002

Examiner

UNKNOWN

Group Art Unit

1733

**POSITIVE-WORKING PHOTOIMAGEABLE BOTTOM ANTIREFLECTIVE COATING****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Signature of Person Mailing Correspondence

Vivian Austin

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**  
Signature

Dated: July 22, 2003

Sangya Jain, Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
telephone (908) 429-3536

CC:

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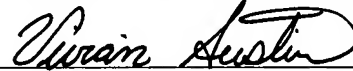
Examiner

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Group Art Unit

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Attorney's Docket No. 2002US301

Filing Date: January 9, 2002

Serial No. 10/042,532

Receipt is hereby acknowledged of:

- 1) Transmittal of Information Disclosure Statement - 2 pages w/Certificate of Mailing by First Class Mail
- 2) Information Disclosure Statement (2 pages)
- 3) Information Disclosure Citation (1 page)
- 4) Copy of International Search Report with references 5,693,691; 6,110,641; EP 0 905 565 A1; Abstract Publication No. 2000171604; Abstract Publication No. 10301268 and EP 0 905 565 A1
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